

1. Review:

1.1 拓扑 (开集)

(X, \mathcal{T})

一般用花体字母表示集合的集合

$$O_1: X, \emptyset \in \mathcal{T}$$

$$O_2: G_\alpha \in \mathcal{T} \Rightarrow \bigcup_\alpha G_\alpha \in \mathcal{T}$$

$$O_3: G_1, G_2 \in \mathcal{T} \Rightarrow G_1 \cap G_2 \in \mathcal{T}$$

例. (1) Sorgenfrey

$$\mathbb{R}, \mathcal{T}_{\text{Sorgenfrey}} = \{U \subset \mathbb{R} : \forall x \in U, \exists \varepsilon > 0, \text{ s.t. } [x, x+\varepsilon) \subset U\}$$

(2) $\mathcal{T}_{\text{countable}}$

$$\mathbb{R}, \mathcal{T}_{\text{countable}} = \{U \subset \mathbb{R} : U^c \text{ 至多可数}\}$$

(3) $\mathcal{T}_{\text{discrete}}$, $\mathcal{T}_{\text{trivial}}$

(4) (X, d) metric space.

$$\mathcal{T}_d = \{U \subset X \mid \forall u \in U, \exists \varepsilon > 0, B(u, \varepsilon) \subset U\}$$

(X, d) 自然蕴含 (X, \mathcal{T}_d)

1.2 邻域.

拓扑空间 (X, \mathcal{T}) , $x \in X$,

$$\mathcal{N}(x) = \{N \subset X \mid x \in N, \exists U \in \mathcal{T}, x \in U \subset N\}$$

例. $[0, 1)$ 是 0 的邻域 in $\mathcal{T}_{\text{Sorgenfrey}}$, 但在 $\mathcal{T}_{\text{Euclid}}$ 中不是

1.3 闭集

(X, \mathcal{T}) , F is closed $\Leftrightarrow F^c \in \mathcal{T}$

抽象成公理形式的定义: (先定义闭集, 再定义拓扑)

集合 X , $\mathcal{C} \subset \mathcal{P}(X)$, 若

$$C_1: X, \emptyset \in \mathcal{C}$$

$$C_2: \forall F_\alpha \in \mathcal{C}, \bigcap_\alpha F_\alpha \in \mathcal{C}$$

$$C_3: F_1, F_2 \in \mathcal{C}, F_1 \cup F_2 \in \mathcal{C}$$

1.4. 内部

$$\begin{aligned} \text{Int } A &= \{a \in A \mid \exists G_a \in \mathcal{T}, a \in G_a \subset A\} \\ &= \{a \in A \mid A \in \mathcal{N}(a)\} \end{aligned}$$

1.5. 拓扑基. Basis

回忆 $\mathcal{T}_{\text{Sorgenfrey}}$, $\mathcal{T}_{\text{Euclid}}$, \mathcal{T}_d 的定义, 不难看出

$$\mathcal{T} = \{U \mid \forall x \in U, \exists B_x, x \in B_x \subset U\}$$

如何定义这样的 B_x ? 记这样的 B_x 全体为 \mathcal{B}

$$(1) \forall x, \exists B_x \ni x \Rightarrow \bigcup_{B \in \mathcal{B}} B = X \quad (B1)$$

$$(2) \text{两开集的交为开集} \Rightarrow \text{若 } x \in B_\alpha, x \in B_\beta, \text{ 则 } \exists B_\gamma, \text{ s.t. } x \in B_\gamma \subset B_\alpha \cap B_\beta. \quad (B2)$$

若 \mathcal{B} 满足 (B1) (B2) 称为 Base,

$$\mathcal{T} = \{U \mid \forall x \in U, \exists B_x \in \mathcal{B}, x \in B_x \subset U\}$$

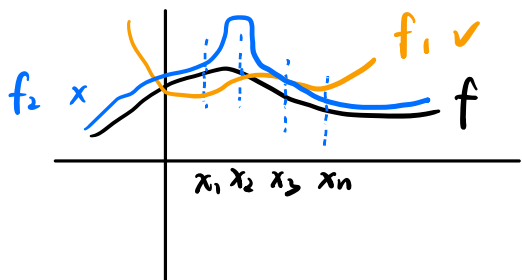
为 \mathcal{B} 诱导的拓扑.

2. 拓扑空间的例

例. X 是集合, (Y, d) metric space, $Y^X = \{f: X \rightarrow Y\}$

$$\mathcal{B} = \{w(f; x_1, x_2, \dots, x_n; \varepsilon) : f \in Y^X, n \in \mathbb{N}, x_1, \dots, x_n \in X, \varepsilon > 0\}$$

$$\begin{aligned} w(f; x_1, x_2, \dots, x_n; \varepsilon) &= \{g \in Y^X : \forall i=1, \dots, n, d(g(x_i), f(x_i)) < \varepsilon\} \\ &\mathcal{T}_{p.c} \end{aligned}$$



逐点收敛拓扑.

若 $f_n \rightarrow f, \forall x$, 显然依度量收敛

若 $f_n \rightarrow f, \forall x \neq x_0, f_n \not\rightarrow f, x = x_0, f_n \notin w(f; x_0; \varepsilon)$

Fact. 在这个拓扑下, 序列极限存在, 必唯一.

例. $f_n = x^n$ on $[0, 1]$, $f_n \rightarrow f = \begin{cases} 0, & [0, 1) \\ 1, & \{1\} \end{cases}$

连续函数极限未必连续!

Q1: 有没有一种保持函数连续性的拓扑?

A1: (Y^*, d_{∞}) , (X, \mathcal{T}) , $f: X \rightarrow Y$ continuous

$$d_{\infty}(f, g) = \max_{x \in X} d(f(x), g(x))$$

Remark: (Y, d) , X 仅为一般“拓扑空间”

(Y, d) , X 仅为“集合”

$$\mathcal{T}_{u.c.} = \{U \mid \forall f \in U, \exists \omega(f; X; \varepsilon) \subset U\}$$

以下方便起见, $X \subset \mathbb{R}$, $Y = \mathbb{R}$, $\mathcal{T}_X = \mathcal{T}_Y = \mathcal{T}_{\text{Euclid}}$

Prop. $f_n \xrightarrow{d_{\infty}} f$, $f_n: (X, d) \rightarrow (\mathbb{R}, d)$ ^{continuous} $\text{cts} \Rightarrow f \text{ cts.}$

Pf:

Prove: $\forall x \in X$, f is cts at x .

$\forall \varepsilon > 0$, $\exists n$, $d_{\infty}(f_n, f) < \varepsilon/3$

$\exists \delta > 0$, $\forall |y-x| < \delta$, $|f_n(y) - f_n(x)| < \varepsilon/3$

$\Rightarrow |f(y) - f(x)| < \varepsilon$

Q2: $X = [0, 1)$, $f_n(x) = \sum_{i=0}^n x^i$ (这里认为 $0^0 = 1$)

$f_n(x) \rightarrow \frac{1}{1-x}$, 但并不一致.

“内闭一致”如何刻画?

A2:

$\omega(f; F; \varepsilon)$, 其中 $F \subset [0, 1)$ 为任意闭集 (in fact, compact)

$\mathcal{T}_{c.c.} = \{U \in \mathbb{R}^{[0,1)} \mid \forall f \in U, \exists F \text{ closed}, \varepsilon > 0, \text{ s.t.}$

$f \in \omega(f; F; \varepsilon) \subset U\}$

Prop. $f_n: [0, 1] \rightarrow \mathbb{R}$, $f_n \rightarrow f$ in T.c.c. 则 f cts.

Pf. $\forall x \in [0, 1]$, $\exists F$ 闭, $x \in F \subset [0, 1]$

f_n 限制在 F 上一致收敛, 故 f 在 F 上 cts, 在 x 处 cts.

3. 再来一些度量空间的例子.

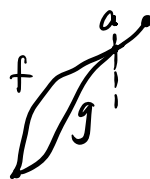
例. $X = \{f: [0, 1] \rightarrow [0, 1], \text{cts}, f(0)=0, f(1)=1\}$

$$d(f, g) = \sup \{r \mid f(r) \neq g(r)\} \cup \{0\}$$

证其为 metric.



$$d(f, g) = 1$$



$$d(f, g) = a$$

$$d(f, g) = 0 \iff \forall r, f(r) = g(r) \iff f = g$$

$$d(f, g) + d(g, h) = r_1 + r_2$$

$$\forall r > \max\{r_1, r_2\}, f(r) = g(r) = h(r) \Rightarrow d(f, h) \leq \max\{r_1, r_2\}$$

收敛方式:



例

$\mathbb{R}^{\mathbb{N}}$

$$d_p((x^n), (y^n)) = \left(\sum_{n=1}^{\infty} |x^n - y^n|^p \right)^{1/p} \quad 1 \leq p < \infty$$

$$l_p = \{ (x^n) \in \mathbb{R}^{\mathbb{N}} \mid d_p((x^n), 0) < \infty \}$$

$$d_{\infty}((x^n), (y^n)) = \sup |x^n - y^n|$$

$$l_{\infty} = \{ (x^n) \in \mathbb{R}^{\mathbb{N}} \mid d_{\infty}((x^n), 0) < \infty \}$$

$$d_p((x^n), (y^n)) = \sum_{n=1}^{\infty} |x^n - y^n|^p \quad 0 < p \leq 1$$

$$l_p = \{ (x^n) \in \mathbb{R}^{\mathbb{N}} \mid d_p((x^n), 0) < \infty \}$$

$$d_{l_1} = \sum_{n=1}^{\infty} \frac{1}{2^n} \frac{|x^n - y^n|}{1 + |x^n - y^n|}$$

$$\mathcal{T} = \{ U_n \times \mathbb{R}^{\mathbb{N}} \mid n \in \mathbb{N}, U_n \text{ 是 } \mathbb{R}^n \text{ 中开集} \}$$

证明: $\mathcal{J} = \mathcal{J}_{d_H}$

- $\forall U \in \mathcal{J}_{d_H}, \forall x \in U, \exists \varepsilon > 0, B_H(x, \varepsilon) \subset U.$

$$\exists N > 0, \sum_{k=N+1}^{\infty} \frac{1}{2^k} < \frac{\varepsilon}{2},$$

$$d_H^n = \sum_{k=1}^n \frac{1}{2^k} \frac{|x^k - y^k|}{1 + |x^k - y^k|} \text{ 是 } \mathbb{R}^n \text{ 中度量,}$$

可验证 $B_H^n(x^k, \varepsilon)$ 为 $(\mathbb{R}^n, \mathcal{J}_{\text{Euclid}})$ 中开集, 进而

$$B_H^n(x^k, \frac{\varepsilon}{2}) \times \mathbb{R}^{1N} \in \mathcal{J},$$

$$(x^k) \in B_H^n(x^k, \frac{\varepsilon}{2}) \times \mathbb{R}^{1N} \subset U \Rightarrow U \in \mathcal{J}$$

- $\forall U \in \mathcal{J}, \forall x \in U, \exists n, U_n \subset \mathbb{R}^n \text{ 开}, x \in U_n \times \mathbb{R}^{1N} \subset U$

$$\exists \varepsilon_1, \dots, \varepsilon_n, x \in (x^1 - \varepsilon_1, x^1 + \varepsilon_1) \times \dots \times (x^n - \varepsilon_n, x^n + \varepsilon_n) \times \mathbb{R}^{1N} \subset U$$

$$\text{取 } \varepsilon \text{ 足够小, s.t. } \varepsilon < \inf_{i=1, \dots, n} \left\{ \frac{n}{\sum_i} \frac{\varepsilon_i}{1 + \varepsilon_i} \right\}$$

$$\text{则 } B_H(x^k, \varepsilon) \subset (x^1 - \varepsilon_1, x^1 + \varepsilon_1) \times \dots \times (x^n - \varepsilon_n, x^n + \varepsilon_n) \times \mathbb{R}^{1N}$$

$$\text{ (因 } \forall y^k \notin U, \exists i, |y^i - x^i| \geq \varepsilon_i,$$

$$\sum \frac{1}{2^k} \frac{|x^k - y^k|}{1 + |x^k - y^k|} \geq \frac{1}{2^i} \frac{|x^i - y^i|}{1 + |x^i - y^i|} > \varepsilon)$$

故 $U \in \mathcal{J}_{d_H}$